



Government of **Western Australia**
 Department of **Mines and Petroleum**
 Resources Safety

Application for a security risk substances (SRS) manufacture licence

Dangerous Goods Safety Act 2004
Dangerous Goods Safety (Security Risk Substances) Regulations 2007

ABN: 69 410 335 356

Use this form to apply for or amend a security risk substances (SRS) manufacture licence.

Applying for a licence

Resources Safety wants to ensure that people manufacturing security risk substances (SRS) do so without creating risks to the community. Stringent criteria apply to where and how SRS may be manufactured and such activity requires appropriate licensing.

Resources Safety has accredited a number of consultants who are approved to prepare and submit your application. When you have a consultant endorse your application as complying with the regulations, the Resources Safety checking fee does not apply.

Resources Safety officers can also assess applications for a SRS licence. However, its core business is regulation, and resources available for assessment of applications are dependent on workloads generated from core activities. The assessment time for applications submitted to Resources Safety without accredited consultant endorsement is approximately three months and checking fees apply. If your submission is deficient, the processing time may be increased.

The regulations apply to the operation of SRS manufacture at fixed plant and any storage of manufactured product within the plant site.

A security risk substance is any substance containing more than 45% ammonium nitrate, unless it is:

- an explosive; or
- it is an aqueous solution, being a homogenous mixture of 2 or more components in a single phase.

In particular, the SRS regulations address the use of ammonium nitrate, mainly for use in explosives manufacture but also as a fertiliser in primary production. The use of ammonium nitrate in non-primary production activities is no longer permitted. Calcium ammonium nitrate (CAN) is also an SRS even though CAN is not a dangerous good.

This application seeks information on a number of important matters relating to the operation of your SRS manufacturing plant required by:

- *Dangerous Goods Safety Act 2004*
- Dangerous Goods Safety (Security Risk Substances) Regulations 2007

- Dangerous Goods Safety (Storage and Handling of Non-explosives) Regulations 2007
- Australian Dangerous Goods Code, 7th edition

The supply of manufactured SRS to consumers outside of Australia requires the manufacturer to hold an SRS Import/Export Licence.

Manufacture of SRS at a State explosives facility (SEF) (i.e. explosives reserve) will require the applicant to negotiate the lease of a site(s).

Who needs to hold this licence?

Any person who manufactures an SRS (e.g. ammonium nitrate, emulsion or gel) must hold an SRS Manufacture Licence that authorises the manufacture at a specific site.

Situations where a SRS Manufacture Licence is not required

A person is authorised, without holding an SRS Manufacture Licence, to manufacture SRS if he or she -

- holds an Explosives Manufacture (MPU) Licence; or
- is a secure employee of the holder of a licence that authorises the holder to possess the SRS in the circumstances; or
- manufactures the SRS while being supervised by the holder of an SRS Manufacture Licence; or
- controls and manages a commercial laboratory or is employed in such a laboratory; and
 - manufactures the SRS for analytical or research purposes that do not involve the manufacture of an illegal product; and
 - there is not more than 3 kg of any SRS in the laboratory.
- is employed by an educational institution or government organisation; or is a student at an educational institution; and
 - manufactures the SRS at the institution or organisation for educational or research purposes that do not involve the manufacture of an illegal product; and
 - there is not more than 3 kg of any SRS at each laboratory.

General requirements

The SRS Manufacture Licence is issued for 5 years and is site specific.

Licences can only be granted to an individual, body corporate or a partnership. Where the application is from an individual, a licence will only be issued if certain eligibility requirements are met and the Chief Officer is satisfied the applicant:

- is 18 years of age or over;
- holds a current WA Dangerous Goods Security Card (DGSC);
- can demonstrate the conduct of a business that involves the manufacture of SRS; and
- has developed and can apply a security plan.

Manufacturing plants and sites

The manufacture of SRS must be undertaken at a fixed plant with access to associated storage facilities if required. These licences are site specific and only allow manufacture of the SRS described on the licence. The licence may allow for storage of manufactured product within the plant perimeter with all excess product stored in complying magazines located away from the plant.

Any company that has several manufacturing sites around the State will need a separate licence for each.

Supervised and unsupervised access – what does this mean?

For the purpose of the regulations an individual is *supervised* by another person while he or she has access to an explosive if at the time he or she:

- is in the presence of the other person; or
- is in place where any handling or removal of SRS is controlled by the other person.

A licence holder may authorise a person to have access to the SRS in the licence holder's possession. If the access is supervised or in a controlled environment there is no specific need for the person to have a security clearance. Such matters would need to be detailed in the security plan.

If access to the explosives is to be unsupervised the person must possess a security clearance and be authorised by the licence holder as a secure nominee.

Secure nominees

Holders of SRS licences may authorise persons to have unsupervised access to explosives in the course of their duties providing:

- the person has a security clearance; and
- the licence holder is satisfied the person is suitably trained to safely handle any explosive that the person will have unsupervised access to.

Persons authorised are deemed to be 'secure nominees' and the licence holder is required to maintain written records of all secure nominees including when authorisations were granted or cancelled.

Persons who are not secure nominees are not permitted to have unsupervised access to SRS.

Supply of SRS

The holder of a SRS Manufacture Licence is authorised, without holding a SRS Supply Licence, to supply / sell SRS to customers.

Major hazard facilities (MHF)

Special provisions apply in respect to a dangerous goods site that, under the Dangerous Goods Safety (Major Hazard Facilities) Regulations 2007, is an MHF. For such premises, the Chief Officer may refuse to decide an application for a SRS Manufacture Licence until such time as a safety report for the site is approved.

Where an MHF status is applied, the standard licence fees for an Explosives Manufacture Licence are replaced with a range of MHF fees that must be paid in annual instalments. Specific details on MHF fees are described in a schedule on the Resources Safety website.

Reference material

Further information can be found at:

- *Dangerous Goods Safety Act 2004*
- Dangerous Goods Safety (Explosives) Regulations 2007
- Dangerous Goods Safety (Major Hazard Facilities) Regulations 2007
- Dangerous Goods Safety (Security Risk Substances) Regulations 2007
- Dangerous Goods Safety (Storage and Handling of Non-explosives) Regulations 2007

(download of the Act and regulations are available free on the State Law Publisher's website at www.slp.wa.gov.au)

- Australian Dangerous Goods Code, 7th edition
- Australian Explosives Code, 3rd edition

(available from www.canprint.com.au or CanPrint. Phone: 1300 889 873)

- Schedule of fees and charges
- Application for a Dangerous Goods Site Licence
- Application for an Explosives/SRS Import/Export Licence
- Dangerous Goods Safety information sheet – overview of SRS regulations

(available from the Resources Safety website at www.dmp.wa.gov.au/ResourcesSafety)

Applicant details

Licences can only be granted to an individual, a body corporate or a partnership.

An application for an individual must include a copy of the applicant's current motor driver's licence for proof of identity.

An application from a body corporate must be in the name as described on the certificate of incorporation, and the original certified copy of the certificate is to be provided with the application.

The following are also recognised as corporate bodies:

- Federal or State Government Departments
- Local Government authorities (Cities, Towns, Shires)
- Some semi-government organisations (e.g. Water Corporation, Western Power)

Where an unincorporated body owns / operates / leases premises or a site, or undertakes an activity (and does not wish, or is not eligible, to become incorporated (i.e. small businesses, associations, etc.) an eligible individual must be nominated to be the applicant.

An application from a partnership must include:

- the original certified copy of evidence of the partnership; and
- a statutory declaration from each partner stating:

- the name of the partnership
- the name, home address and contact details of all partners; and
- business in which the partnership is engaged.

The licence will be granted in the name of the partnership.

Applications may be received in respect to premises that are operating under a trust. The licence cannot be granted to a trust, but can be granted to, as an example, '*The trustees of the ABC Trust*'.

Applications from trusts must include:

- the original certified copy of a document which states the name of trust; and
- the name, home address and contact details of **at least one of the trustees**. If the nominated trustee is a body corporate or partnership, the documents required are the same as advised above for such entities.

Licences cannot be granted to business names or trading names.

Please ensure that a contact number is provided in case the Departmental assessor needs to clarify matters or seek additional information. A residential business is mandatory and may be supplemented with a post office address.

Security clearances

It is a requirement that explosives related licence holders and people with unsupervised access to explosives or security risk substances possess a security clearance (and where necessary be a secure nominee of the licence holder). Key features of the assessment process are identity checks to confirm the status of applicants as well as national criminal history record checks including an ASIO clearance.

As a means of proof of a valid security clearance, individuals will be issued with a photographic security card valid for 5 years, and it will be subject to renewal. The security card will allow portability of the security clearance between employers.

Individuals who wish to apply for a SRS Manufacture Licence must first obtain a WA Dangerous Goods Security Card. Details are available on the Resources Safety website and application forms can be obtained from and lodged at participating post offices in Australia.

A SRS Manufacture Licence held by an individual is only valid while the security clearance of the individual remains current.

Recognised security clearances

Western Australian explosives regulations recognise current explosives and Security Sensitive Ammonium Nitrate (SSAN) licences / permits issued by another State / Territory of Australia as being valid security clearances in this State. This does not apply for permanent residents of WA with more than 3 months residency. These are detailed in the following table:

State / Territory	Legislation
New South Wales	Explosives Regulations 2013
Queensland	<i>Explosives Act 1999</i>
South Australia	Explosives (Security Sensitive Substances) Regulations 2006
Tasmania	<i>Security Sensitive Dangerous Substances Act 2005</i>
Victoria	Dangerous Goods (Explosives) Regulations 2011 Dangerous Goods (HCDG) Regulations 2005
Northern Territory	None applicable
Australian Capital Territory	None applicable

Applicants from interstate, who do not possess a recognised security clearance will be required to obtain a WA Dangerous Goods Security Card in order for the application for licence to proceed.

Relevant offence

The regulations require that all individuals who apply for any type of SRS licence must disclose to the Chief Officer:

- details of any relevant offence of which the person has been convicted; and
- details of any charge of a relevant offence against the person that is pending.

The term 'relevant offence' means any of the following:

- an offence against the *Dangerous Goods Safety Act 2004* and regulations;

- an offence against a law of another place that substantially corresponds to the *Dangerous Goods Safety Act 2004* and regulations; or
- an offence against a law of this State or another place, an element of which is the handling, storage or transport of explosives.

Two questions on this matter are contained in the application form and answers in the affirmative to either question must be supported by a separate sheet briefly describing details of the offence and/or charge including places, dates and penalties.

Security plans

A security plan that describes how the applicant will meet minimum requirements must be prepared and lodged with the application form. The content of a security plan is referred to in regulation 31 of the *Dangerous Goods Safety (Security Risk Substances) Regulations 2007*. In essence, a security plan will address:

- security risk assessment;
- personnel management;
- site security; and
- procedures.

The format of a security plan is not prescribed and applicants have flexibility in this area providing that all necessary elements of the plan are addressed.

The licence holder and the person representing the company as the person responsible for implementing the security plan are both required to implement and comply with the security plan.

The security plan has been declared a 'safety management document' for the purposes of sections 3 and 10 of the *Dangerous Goods Safety Act 2004* and this gives Dangerous Goods Officers the power to order improvements and updates to security plans, as well as allowing the Chief Officer to order third-party audits of the plans, paid by the licence holder.

Manufacture of SRS at a State explosives facility (SEF)

The manufacture of SRS at a SEF such as the Baldivis and Kalgoorlie Explosives Reserves is subject to availability of sites and written approval from the Minister for Mines and Petroleum. Before any manufacture can take place a number of important steps must be attended to including:

- submitting a written request to the Chief Officer to lease land within the particular SEF upon which to establish -
 - an SRS manufacturing facility (associated buildings, plant and precursor storage); and
 - suitable SRS storage facilities (i.e. magazines or sheds)

- details of the proposed plant and operations
- details of all storage facilities
- payment of fees (licence fees and site leasing fees)
- submit licence application form

Prior to a site being released, the Department will prepare a formal lease agreement. Special details of fees and charges are described in a schedule on the Resources Safety website.

Application lodgement

If you intend to apply for a licence, it is recommended that you contact an accredited dangerous goods consultant and work with them to have your submission developed. The processing time for compliant applications that do not need complex internal assessment is 20 business days.

Your consultant will normally complete the application form on your behalf, however the intended licensee must actually sign the application. The licensee is responsible for all matters associated with the day-to-day storage and handling of the dangerous goods, and will be held accountable for any breaches of the Regulations.

Information for dangerous goods consultants

The following information will assist accredited dangerous goods consultants when lodging applications for licences.

Lodgements by email

- Applies to applications for new licences.
- All emails are to be sent to rsdcustomerservices@dmp.wa.gov.au and not to individual DMP staff members. Any correspondence sent to this email address should only be submitted once and it will be actioned in a timely manner. This process ensures all applications can be tracked from time of lodgement.
- The original hardcopy application must still be forwarded by mail so that certified copies of supporting documents can be verified.
- The email should include a list detailing the names of all attachments.

Consultants are requested to utilise appropriate naming conventions for all attachments sent electronically so that DMP staff can easily identify the contents and make it easy for electronic document storage and retrieval.

Licence fees

Please refer to the schedule of fees and charges on the Resources Safety website for the current fee applicable to this application for licence.

Only the licence fee for the first year of the licence is to be lodged with the application. Licence fees for subsequent years will be billed annually.

Contact details

Tel: (08) 9358 8001
 Email: rsdcustomerservices@dmp.wa.gov.au
 Website: www.dmp.wa.gov.au/ResourcesSafety for fees, forms, FAQs, guidance material and publications.

Checking fees

These are only payable if the application has not been lodged through an accredited dangerous goods consultant. Checking fees are equal to the licence fee payable with the application.

Lodgement

The completed application form, together with relevant documents and the relevant fee payable to the Department of Mines and Petroleum is to be mailed to:

Resources Safety
 Department of Mines and Petroleum
 100 Plain Street
 East Perth WA 6004

or handed in person at:

Resources Safety
 1 Adelaide Terrace
 East Perth WA 6004

Office hours: 8.30 am to 4.30 pm

Notes:

- **Licences cannot be issued over the counter.**
- **Please refer to the schedule of fees and charges on the Resources Safety website for the current fee applicable to this application for licence.**



Government of **Western Australia**
 Department of **Mines and Petroleum**
 Resources Safety

Application no. (office use only)

SMA

Application for a security risk substances (SRS) manufacture licence

Dangerous Goods Safety Act 2004
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ABN: 69 410 335 356

1. Application type (you must tick one of the boxes)

New licence Amendments to an existing licence Transfer of a licence (can only be done by licence holder)

Indicate licence number

SMA

Briefly describe amendment or transfer requirements

2. Applicant details

Please tick **one** of the following boxes and complete the relevant section below:

Body corporate Partnership Trust Individual

Proof of entity documents are required to be lodged with the application. Refer to information section of application form.

Full legal name (as shown on the proof of entity document)

ABN

AND/OR

ACN

Registered business (trading name) (if different to the legal name)

Contact details

Business street address (mandatory)

Unit no. Street no. Lot no. Street Type (e.g. St, Rd)

Town / suburb State Postcode

Phone Email

Postal address (complete if different from above)

Unit no. Street no. Lot no. Street Type (e.g. St, Rd)

Town / suburb State Postcode

Phone Email

3. Relevant offence

The following questions must be answered by the applicant if an individual.

Have you been convicted of any relevant offence? Yes No

Do you have a charge of a relevant offence pending against you? Yes No

If you answered 'yes' to either of the above questions please attach a separate sheet with details of your full name, date of birth, description of offence and/or charge, places, dates, penalties etc.

4. Security clearance details

Completion of this section is mandatory where the applicant is an individual.

WA Dangerous Goods Security Card number Expiry date / / / / /

OR

Recognised security clearance from another State / Territory of Australia

Licence / permit description

Licence / permit number

Expiry date / / / / / State issued / /

A certified copy of the licence / permit must be attached to the application.

5. Location of manufacturing plant

Trading name (if different from applicant name)

Site address

Unit no. Street no. Lot no. Street Type (e.g. St, Rd)

Town/suburb Postcode

Site phone Site fax

Site email

Additional location information (if there is no valid street address)

Global positioning system (GPS) coordinates (if available)

Geographic (GDA94): Latitude Longitude **AND/OR**

Projected (MGA94): Easting Northing Zone

Land title information (as appropriate to site being endorsed)

Certificate of Title (CT) number

Mine site tenement number (if applicable)

6. SRS manufacture details

Please list the ingredients / precursors used in the manufacturing process and maximum quantity to be held for each within the site compound.

Ingredients	UN number	Maximum quantity (kL/tonnes)

(if insufficient space, please add a separate sheet)

Proper shipping name	UN number	Maximum quantity of manufactured SRS held on site at any time (KL/tonnes)

7. Applicant's declaration (where the intended licence holder is not an individual, this declaration must be signed by a person within the business / firm who has authority to sign)

I declare the information provided in this application and the documents provided in support of it, are true and correct. I understand that providing false or misleading information in an application is an offence.

Name Position

Signature of applicant Date / /

8. Checklist (please tick the boxes to ensure your submission is complete)

- Completed and signed application form
- If a body corporate, an original certified copy of certificate of incorporation
- If a partnership, the following:
 - the original certified copy of evidence of the partnership
 - a statutory declaration from **each** partner stating (the name of the partnership; the name, home address and contact details of all partners; the business in which the partnership is engaged)
- If a trust, the following:
 - the original certified copy of a document which states the name of the trust
 - full name, home address and contact details of at least one of the trustees
 - if the nominated trustee is a body corporate or partnership, the documents required are the same as advised for such entities
- If an individual, a colour copy of the applicant's current motor driver's licence
- A separate sheet briefly describing details of any relevant offence resulting in convictions, and/or charges pending (if applicable as per part 3)
- The original certified colour copy of an interstate recognised security clearance (if applicable as per part 4)
- The security plan incorporating detailed site plan as per regulation 31 of the Dangerous Goods Safety (Security Risk Substances) Regulations 2007
- Payment of the licence fee

Incomplete applications cannot be processed and will be returned.

Licences cannot be issued over the counter. Please post applications to the Department of Mines and Petroleum – Resources Safety. The processing time for compliant applications that do not need complex internal assessment is 20 business days.

9. Payment details

Please forward your application and remittance by mail to:

Resources Safety — Department of Mines and Petroleum
100 Plain Street, EAST PERTH WA 6004

Application no. *(office use only)*

SMA

Cheques and money orders should be made payable to: Department of Mines and Petroleum

**Security risk substances manufacture licence
Complete this section if paying by credit card**

Card number Expiry date /

Card type *(please tick)* Mastercard Visa

Cardholder's name

Amount Signature of cardholder

Phone number of cardholder Date / /